# Metaheuristic-hybridized multilayer perceptron in slope stability analysis

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**Abstract.** This research is dedicated to slope stability analysis using novel intelligent models. By coupling a neural network with spotted hyena optimizer (SHO), salp swarm algorithm (SSA), shuffled frog leaping algorithm (SFLA), and league champion optimization algorithm (LCA) metaheuristic algorithms, four predictive ensembles are built for predicting the factor of safety (FOS) of a single-layer cohesive soil slope. The data used to develop the ensembles are provided from a vast finite element analysis. After creating the proposed models, it was observed that the best population size for the SHO, SSA, SFLA, and LCA is 300, 400, 400, and 200, respectively. Evaluation of the results showed that the combination of metaheuristic and neural approaches offers capable tools for estimating the FOS. However, the SSA (error = 0.3532 and correlation = 0.9937), emerged as the most reliable optimizer, followed by LCA (error = 0.5430 and correlation = 0.9843), SFLA (error = 0.8176 and correlation = 0.9645), and SHO (error = 2.0887 and correlation = 0.8614). Due to the high accuracy of the SSA in properly adjusting the computational parameters of the neural network, the corresponding FOS predictive formula is presented to be used as a fast yet accurate substitution for traditional methods.

Keywords: geotechnical engineering; slope stability analysis; neural computing; metaheuristic optimizer

## 1. Introduction

Accurate assessment of the stability of slopes is a vital step in many civil/geotechnical engineering projects. Up to now, various analytical and numerical methods have been suggested for this task (Ng and Shi 1998, Cai and Ugai 2004, Zhang and Zhou 2018, Wang et al. 2019). Dai et al. (2008) conducted a numerical approach (by employing a finite element and a fast Lagrangian finite difference method) for analyzing the soil slope stability by taking into equation the tension and shear failures. Pradatta et al. (2018) presented an analytical technique for stability analysis of cohesionless soil slopes under combined horizontal and vertical seismic loads. Camargo et al. (2016) employed an effective numerical solution, namely numerical limit analysis to a 3D problem. They applied the proposed methodology to a real-world catchment affected by intense rainfall. Lim et al. (2016) used finite element limit analysis methods (i.e., the upper and lower bound solutions) to investigate the stability of soil and rock slopes. (Leshchinsky and Ambauen 2015) stated the applicability of upper bound limit analysis associated with discontinuity layout optimization. This method can be effectively used for stability evaluation and analyzing the failure mechanism, regardless of the constraints and assumptions needed in limit equilibrium methods.

Soft computing methods have been successfully used in various research projects in different fields of geotechnical engineering (Bagheri Sereshki and Derakhshani 2018, Qiao et al. 2020, Zhou et al. 2020). Different examples of soft computing are utilized for soil compression coefficient (Moayedi et al. 2019b, 2020a), landslide susceptibility assessment (Bui et al. 2019c, Nguyen et al. 2019), slope stability (Bui et al. 2019a, Moayedi et al. 2019c). Some studies have specifically addressed the shallow foundation problems such as predicting the ultimate bearing capacity and settlement (Barari et al. 2015, Kohestani et al. 2017, Mosallanezhad and Moayedi 2017, Moayedi and Havati 2018). A review of the soft computing research programs regarding the ultimate bearing capacity is provided in the next section. In recent years, machine learning methods as artificial neural network (ANN), genetic such programming, bacterial foraging optimization (Xu and Chen 2014, Chen et al. 2020), improved ant colony optimization (Zhao et al. 2014), fruit fly optimization (Shen et al. 2016), chaotic moth-flame optimization (Wang et al. 2017), Moth-flame optimizer (Xu et al. 2019), grey wolf optimization (Zhao et al. 2019), multi-swarm whale optimizer (Wang and Chen 2020), etc. have become popular among the scholars because they can make predictions with

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optimal accuracy when modeling complicated phenomena. The invention of soft computing has suggested efficient predictors (like fuzzy-based tools) which have been useful in many engineering and medical fields. ANNs are known as potent predictive methods that mimic the relationships between the components of a real neural system (McCulloch and Pitts 1943). The most notable option of this tool is the non-linear perception of every complex phenomenon including plenty of engineering parameters (Zounemat-Kermani et al. 2016, Ghiasi and Ghasemi 2018, Keshavarz and Torkian 2018, Li et al. 2018, Onat and Gul 2018). Basically, the ANNs benefit the backpropagation (BP) (Hecht-Nielsen 1992) scheme to map the relationship between a group of input-output data. In fact, a number of mathematical equations are established between so-called processors "neurons" by using connecting weights. The neural equation is then completed by adding a threshold term as well as applying an activation function. Many studies have investigated the efficacy of artificial intelligence models for slope stability analysis (Sakellariou and Ferentinou 2005, Choobbasti et al. 2009, Li et al. 2016, Akin and Sahin 2017, Chahnasir et al. 2018, Fallahian et al. 2018).

Despite the wide application of ANNs, these approaches are not so resistant against computational drawbacks like local minima. Therefore, hybrid metaheuristic algorithms have been suggested for optimization aims. Gandomi et al. (2015) and (2017) used various evolutionary techniques for slope stability optimization and proved the high efficiency biogeography-based optimization and flight krill herd for slope stability analysis. Scholars like Gordan et al. (2016) and Li et al. (2015) have focused on the usefulness of particle swarm optimization in this field. Moayedi et al. (2019a) used Harris hawks optimization (HHO) technique to overcome the computational shortcomings of a multilayer neural network. The proposed algorithm reduced the prediction error by nearly 27% and increased the correlation between the real and forecasted FOSs from 0.8220 to 0.9253. Similar methodologies were applied to the problem of landslide susceptibility modeling carried out for different regions in Iran (Moayedi et al. 2018).

The literature review indicates the wide application of well-known optimization techniques used for enhancing the competency of machine learning methods like ANNs in the field of slope stability assessment (Bui *et al.* 2019b, Yuan and Moayedi 2019). But it is felt that employing more state-of-the-art metaheuristic techniques can lead to more capable predictors for dealing with the mentioned problem. Hence, four wise optimization algorithms, namely spotted hyena optimizer (SHO), salp swarm algorithm (SSA), shuffled frog leaping algorithm (SFLA), and league champion optimization algorithm (LCA) are proposed in this study to create neural ensembles for accurate appraisement of the FOS. Meanwhile, the best model is distinguished based on an accuracy evaluation process.

#### 2. Methodology and established database

Needless to say, every intelligent model needs to be fed by proper data (in both classification and regression cases). These data can be derived from different ways like laboratory tests and experimental approaches, real-world observation, analytical computer software, etc. The soil data used to train and evaluate the intelligent models of this study are obtained from a finite element analysis carried out in Optum G2 software (Krabbenhoft et al. 2015). In this modeling, the FOS of a single-layer cohesive soil slope (with mechanical factors of Poisson ratio = 0.35, internal friction angle = 0°, and soil unit weight =  $18 \text{ kN/m}^3$ ) is calculated by taking into consideration the effect of four variables, namely undrained cohesive strength  $(C_u)$ , slope angle ( $\beta$ ), the surcharge on the footing (w), and the ratio of setback distance (d/D). An illustration of the modeled system can be seen in Fig. 1(a). Also, an example of the Optum G2 analysis is shown in this figure.

A total of 630 stages were analyzed and the values of input factors (i.e., d/D,  $C_u$ ,  $\beta$ , and w) along with the obtained FOS create the dataset. Fig. 2 depicts the relationship between the input and output factors. Out of this information, regarding the popular ratio of 80:20, the predictive models use 504 samples to infer the relationship



Fig. 1 A schematic vision of (a) the designed slope in the reality; and (b) Optum G2 analysis (horizontal strain diagram for d/D = 1, Cu = 75 kPa,  $\beta = 15^{\circ}$ , and w = 100 KN/m<sup>2</sup>)



Fig. 2 The graphical description of the input/output factors



Fig. 3 The methodology of the study

between the mentioned parameters. Then, the trained models are applied to the remaining 126 samples for evaluating their prediction capability for unseen slope conditions.

# 2.1 Methodology

The graphical description of the methodology (i.e., the implemented steps) applied to achieve the goal of the study

is illustrated in Fig. 3. After preparing a suitable database from finite element approaches, it is divided into the training and testing phases. Next, the hybridization process (combining the metaheuristic algorithms with the ANN) is implemented which is the main core of this work. The next step is optimizing the created models in terms of their population size. For this purpose, the convergence behavior of each algorithm is evaluated to ensure that it is being executed in the optimal conditions. The neural ensemble models then perform to predict the FOS, and lastly, their performance is validated by proper criteria.

### 2.1.1 Used metaheuristic schemes

**SHO:** The spotted hyena optimizer is a recently developed metaheuristic algorithm which is designed by Dhiman and Kumar (2018) for finding the optimal solutions to engineering problems. The high convergence speed can be noted as an advantage of the SHO. The social behavior of spotted hyenas for hunting a prey (e.g., a zebra) comprises several stages during which the individuals try to update their locations. These stages are described as follows:

a) Encircling prey

Spotted hyenas (SHs) can track the location of their prey for surrounding it. The elite candidate is the SH that is closest to the target. After defining the best solution, other agents update their locations. Mathematically

$$\vec{D}_h = \left| \vec{B} \cdot \vec{P}_p(x) - \vec{P}(x) \right| \tag{1}$$

$$\vec{P}(x+1) = \vec{P}_p(x) - \vec{E} \cdot \vec{D}_h$$
 (2)

where X shows the current iteration,  $\vec{D}_h$  represents the distance between the SH and the target,  $\vec{B}$  and  $\vec{E}$  are coefficient vectors. Also,  $\vec{P}$  and  $\vec{P}_p$  indicate the position of the SH and prey, respectively. The coefficient vectors are defined as follows

$$\vec{B} = 2 \cdot r \, \vec{d}_1 \tag{3}$$

$$\vec{E} = 2\vec{h} \cdot r \, \vec{d}_2 - \vec{h}$$
 (4)

$$\vec{h} = 5 - \left(It \times \frac{5}{It_{max}}\right), \quad It = 1, 2, \dots, It_{max} \tag{5}$$

where It is the iteration. As this parameter increases, the  $\vec{h}$ falls from 5 to 0 linearly to properly balance the exploration and exploitation.  $r \vec{d}_1$  and  $r \vec{d}_2$  symbolize random vectors in [0, 1].

b) Hunting

In the hunting process, it is assumed that the elite agents know the target location. Other agents try to update their locations accordingly. Assuming  $\vec{P}_h$  and  $\vec{P}_k$  as the position of the first elite SH and other SHs, respectively, we can write

$$\vec{D}_h = \left| \vec{B} \cdot \vec{P}_h - \vec{P}_k \right| \tag{6}$$

$$\vec{P}_k = \vec{P}_h - \vec{E} \cdot \vec{D}_h \tag{7}$$

$$\vec{C}_h = \vec{P}_k + \vec{P}_{k+1} + \dots + \vec{P}_{k+N}$$
 (8)

The parameter N defines the number of individuals that is obtained from the below relationship

$$N = count_{nos}(\vec{P}_h, \vec{P}_{h+1}, \dots, (\vec{P}_h + \vec{M}))$$
(9)

in which  $\vec{M}$  is a random vector that its value may range

from 0.5 to 1, nos stands for the number of solutions. The term  $\vec{C}_h$  is a cluster of *N* number of optimal solutions. c) Attacking target (Exploitation)

For doing this stage, the value of  $\vec{h}$  is decreased. For this decrease, the variation in  $\vec{E}$  is also reduced. The group of SHs attack target when  $|\vec{E}| < 1$ . The attacking procedure can be expressed as follows

$$\vec{P}(x+1) = \frac{\vec{C}_h}{N} \tag{10}$$

where the outcome of this relationship (i.e.,  $\vec{P}(x+1)$ ) adjusts the position of other SHs, based on the position of the elite one.

d) As explained, the SHs seek the target regarding the position of the SHs in vector  $\vec{C}_h$ . Once  $|\vec{E}| > 1$ , the SHs leave the prey. It leads the algorithm toward a global optimization. The exploration is carried out by assist of the vector  $\vec{B}$ . This component contains the random weight of the target that is produced in Eq. (10). This vector effectively helps the SHO to avoid local optimizations. The pseudocode of the SHO is presented below (Jia et al. 2019)

Algorithm 1: The pseudo-code of the SHO technique

Take the population  $(P_i, i = 1, 2, ..., n)$  as the input and show the elite search agent.

Initialize the SHO parameters 
$$h, N, E$$
, and  $B$   
Compute the fitness of the search agents  
 $P_h = the \ elite \ search \ agent$   
 $C_h = the \ cluster \ of \ optimal \ solutions$   
while (the number of iterations) do  
for each search agent do  
Update the position of the present SH by  
Eq. (3)  
end for  
Update  $h, N, E$ , and  $B$   
Adjust the agents gone behind the given space  
(if any)  
Calculate the fitness of each agent  
Update the elite SH if a better solution is found  
Update the cluster  $C_h$  regarding the elite  
individual  
 $x = x + 1$   
end while  
Return  $P_h$   
End

More information about this algorithm can be found in Balasubbareddy et al. (2019), Dhiman (2019), Divya et al. (2020).

SSA: The salp swarm algorithm is proposed by Mirjalili et al. (2017), based on the foraging behavior of salps (a member of Salpidae family living in oceans). Similar to many other algorithms, the SSA is a population-based method in which the possible solutions are represented by

salp individuals. The members in each salp chain are followers guided by a so-called member "leader" to find food sources (FSs).

The below matrix represents a group of k salps

$$X_{i} = \begin{bmatrix} x_{1}^{1} & x_{2}^{1} & \dots & x_{d}^{1} \\ x_{1}^{2} & x_{2}^{2} & \dots & x_{d}^{2} \\ \vdots & \vdots & \dots & \vdots \\ x_{1}^{k} & x_{2}^{k} & \dots & x_{d}^{k} \end{bmatrix}$$
(11)

Given  $FS_j$  as the position of the FS in the dimension *j*, the position of the leader  $(x_j^1)$  is adjusted based on the following equation

$$x_{j}^{1} = \begin{cases} FS_{j} + C_{1} \left( (ub_{j} - lb_{j})C_{2} + lb_{j} \right) & C_{3} \ge 0.5 \\ FS_{j} - C_{1} \left( (ub_{j} - lb_{j})C_{2} + lb_{j} \right) & C_{3} < 0.5 \end{cases}$$
(12)

where  $C_2$  and  $C_3$  are values randomly selected in [0, 1] that play a significant role in directing the coming positions (towards+  $\infty$  or  $-\infty$ ) and determining the step size. Also,  $ub_j$  and  $lb_j$  denote the upper bound and lower bound of the dimension.  $C_1$  is a variable which is gently reduced over the process. Eq. (13) gives this parameter

$$C_1 = 2e^{-\left(\frac{4lt}{lt_{max}}\right)^2} \tag{13}$$

where the present iteration and the maximum number of iterations are shown by It and  $It_{max}$ , respectively.

Finally, the follower salps use Eq. (14) to update their positions

$$x_j^i = \frac{1}{2} \left( x_j^i + x_j^{i-1} \right) \tag{14}$$

in which  $i \ge 2$ . The pseudo-code of the SSA algorithm is presented in Algorithm 2 (Faris *et al.* 2018).

Algorithm 2: The pseudo-code of the SSA technique

Take the population ( $P_i$ , i = 1, 2, ..., n) as the input and show the elite search agent.

Initialize the salp population with respect to lower bound and upper bound

```
while (ending criterion is not met) do
Calculate the fitness of each agent
Set FSs the elite agent
Update c<sub>1</sub> by Eq. (13)
for (each search agent) do
if (i ==1) then
Update the leading salp's position by
()
```

else

Eq. (14)

Eq. (12)

Update the population with respect to ub and lb of variables Return FS

Update the follower salps' position by

The SSA is further detailed in Hussien *et al.* (2017), Aljarah *et al.* (2018), Ibrahim *et al.* (2019).

**SFLA:** The shuffled frog leaping algorithm is one of the most popular search techniques introduced by Eusuff and Lansey (2003). As the name connotes, the individuals in this algorithm are a series of frogs where each one represents a solution. This algorithm presents a combination of the PSO and Memetic algorithm based genetic algorithm (Kimiyaghalam *et al.* 2012).

Similar to other algorithms which are inspired by animals' behavior, updating the frogs' positions is the main idea of the SFLA. To do this, a fitness value is assigned to each member to classify them in a number of containers named memeplexes (Chen *et al.* 2019).

Updating the frogs' positions can be expressed by the below relationships

$$X_{new} = X_w + S \tag{15}$$

where  $X_w$  symbolizes the worst frog's position and S is calculated as follows

$$S = rand() \times (X_b - X_w) \tag{16}$$

In the above equation,  $X_b$  is the frog's best position and *rand* () gives a random value between 0 and 1. Note that *S* can receive values between  $S_{max}$  (i.e., the maximum leap) and  $-S_{max}$ . Algorithm 3 gives the pseudocode of the SFLA (Supraja and Jayashri 2017)

Algorithm 3: The pseudo-code of the SFLA technique
Start
Initialize a random population of frogs
Calculate the fitness of each agent
Sort the frogs based on their fitness values
Divide the population into m memeplexes
for each memeplex do
Determine the best and worst member
Improve the position of the worst member
using Eq. (15)
Repeat this for the given number of
iterations
end for
Merge the evolved memeplexes
Sort the frogs regarding their descending fitness vales
Check if stopping criterion = true
End

The SFLA is also explained in the previous literature (Liping *et al.* 2012, Zhang *et al.* 2012, Roy *et al.* 2013).

**LCA:** Kashan (2009) presented the league champion optimization inspired by the competitions in an artificial league. Utilizing a single round-robin algorithm, the main schedule is developed for a season. If there are *K* teams in the league, a total of  $K \times (K - 1) / 2$  matches are supposed to be held. Actually, there are K - 1 weeks in each season (S) that results in  $S \times (K - 1)$  weeks of contests. This is worth noting that in order to donate rest to a team, a dummy

team is taken into account when K is an odd number.

Regarding the playing strength of the attending teams, the chance of winning/losing is determined for them in the matches. More clearly, the team with larger playing strength is more likely to win the match. Based on this idealized rule (Kashan 2014)

$$\frac{f(X_i^t) - \hat{f}}{f(X_i^t) - \hat{f}} = \frac{P_j^t}{P_i^t}$$
(17)

where  $X_i^t$  and  $X_j^t$  define the formations,  $f(X_i^t)$  and  $f(X_j^t)$  are the playing strengths of the teams and the chance of team *i* for defeating the opponent is shown by  $P_i^t$ . Also, *f* represents a function that is projected to be minimized.

Based on another idealized rule of the LCA algorithm it can be written that

$$P_j^t + P_i^t = 1 \tag{18}$$

Hence, for a match between two teams *i* and *j* at the  $t^{th}$  week, the parameter  $P_i^t$  can be calculated by Eq. (19) (Moayedi *et al.* 2020b)

$$P_i^t = \frac{f(X_j^t) - \hat{f}}{f(X_j^t) + f(X_i^t) - 2\hat{f}}$$
(19)

The pseudo-code of the LCA can be found in Algorithm 4 (Bozorg-Haddad 2018).

Algorithm 4: The pseudo-code of the LCA technique

Start

Initialize the random formation of the teams Generate league schedule for *K* teams **for** m = 1: K(S-1)Evaluate the strength of the teams Compute the chance of each to beat its rival in the coming competition ( $P_t^t$ ) Generate a random value in [0, 1] ( $R_n$ )

If  $R_n \le P_i^t$ Team *i* is the winner Else Team *j* is the winner End if

Generate a random value in [0,1](r)Calculate the number of changes in teams' best formation  $(B_i^t)$  for the next competition with respect to the truncated geometric distribution  $(q_i^t)$  $q_i^t$  players are randomly chosen (from  $B_i^t$ ) and changed by the strength/weakness/ opportunity/threat (SWOT) matrix

If team *i* and team *l* are the winners Select the S/T strategy Else if team *i* is the winner and team *l* 

is the loser

Select the S/O strategy

Else if team <i>i</i> is the loser and team <i>i</i>
is the winner
Select the W/T strategy
Else if both teams are losers
Select the W/O strategy

End if end for End

The LCA algorithm is better explained in Kashan (2011, 2014), Jalili *et al.* (2016).

#### 2.1.2 Ensemble development and optimization

The hybridization process of the ANN is explained in this section. It consists of four steps including (a) obtaining the best basic structure of the ANN, (b) creating the equation of the found network and introducing it (as the problem function) to the optimizers, (c) executing the optimizers for finding the best parameters (i.e., the connecting weights and biases) for the network in a repetitive process, and (d) picking the most promising response regarding the stopping criteria. More clearly, the algorithms try to update the positions of their individuals (e.g., the frogs in the SFLA) to improve the goodness of their responses. It usually continues until it reaches a defined number of iterations or a specific fitness value.

The ANN proposed in this study is represented by an MLP (Hornik et al. 1989), a well-known notion of feedforward networks. The number of hidden neurons is one of the most influential variables the optimal value should be determined for that. This item was handled by trial and error efforts and it was shown that among ten tested values (1, 2, ..., 10), the best MLP is which contained five hidden neurons. Also, Tansig was selected as the activation function of the hidden layer. Next, the general equation of the model is given to the SHO, SSA, SFLA, LCA algorithms to create SHO-MLP, SSA-MLP, SFLA-MLP, and LCA-MLP ensembles. In this task, the variables are the MLP computational parameters which are aimed to be optimized based on the relationship between the FOS with d/D,  $C_u$ ,  $\beta$ , and w. Thus, the suggested solution is a matrix containing new weights and biases for constructing an MLP. The search process is performed for 1000 times (i.e., iterations). To evaluate the quality of the optimization, an objective function is defined to measure the accuracy at each iteration. This function is set to be root mean square error (RMSE) in this work (see Eq. (20)).

$$RMSE = \sqrt{\frac{1}{K} \sum_{i=1}^{K} \left[ (O_{i_{observed}} - O_{i_{predicted}}) \right]^2}$$
(20)

where  $O_{i \text{ predicted}}$  and  $O_{i \text{ observed}}$  represent the forecasted and real values of FOS and K symbolizes the number of samples.

Moreover, the number of involved individuals has a significant impact on the optimization results. This is why this parameter needs to be optimized. To this end, all four ensembles are implemented with nine different population

N	SHO-MLP		SSA-	MLP	SFLA	-MLP	LCA-MLP		
INp	RMSE	Time (s)							
10	3.322995	146.3396	0.865851	135.9052	1.255943	218.4249	1.022846	140.6084	
25	3.373912	354.1796	0.832654	322.3489	1.288907	213.3355	0.951905	364.9705	
50	3.488242	704.2673	0.540544	643.6628	1.668806	212.1432	0.790649	685.8581	
75	3.332569	1112.581	0.523702	971.0438	1.225821	218.2488	0.84658	985.3211	
100	3.380597	1301.352	0.508214	1404.67	1.270973	226.041	0.87237	1297.818	
200	3.24693	2579.889	0.506516	2760.106	1.27593	230.4944	0.67041	2583.583	
300	2.672776	4128.133	0.498274	4128.397	1.491712	263.4617	0.835979	3869.399	
400	3.053384	5676.226	0.44636	5509.48	1.196237	264.7039	0.907868	14488.1	
500	3.082803	6915.045	0.492831	6571.096	1.253728	279.0669	0.725523	6260.833	

Table 1 The results of the sensitivity analysis



Fig. 4 The convergence curves of the elite models

sizes (N<sub>p</sub>s), varying from 10 to 500. The results (the obtained RMSEs and the computation time) are available in Table 1 with a color intensity system. As is seen, the SHO, SSA, SFLA and LCA algorithms present the best optimization of the MLP with the population sizes of 300, 400, 400, and 200, respectively. Additionally, the convergence curves belonging to the best-fitted ensembles are illustrated in Fig. 4.

#### 3. Results and discussion

#### 3.1 Quality assessment indices

As well as the RMSE, mean absolute error (MAE) of the prediction results is calculated for both training and testing data. Moreover, the correlation between the real and calculated FOSs is reported by the coefficient of determination ( $\mathbb{R}^2$ ). As is known, the ideal value for the RMSE and MAE is zero, while the  $\mathbb{R}^2$  can range from 0 to 1 indicating the lowest and highest possible correlation, respectively. Assuming  $\overline{O}_{observed}$  as the average of  $O_{i \ observed}$ , Eqs. (21) and (22) formulate the MAE and  $\mathbb{R}^2$ .

$$MAE = \frac{1}{K} \sum_{i=1}^{K} |O_{i_{observed}} - O_{i_{predicted}}|$$
(21)

$$R^{2} = 1 - \frac{\sum_{i=1}^{K} (O_{i_{predicted}} - O_{i_{observed}})^{2}}{\sum_{i=1}^{K} (O_{i_{observed}} - \overline{O}_{observed})^{2}}$$
(22)

#### 3.2 Quality assessment and comparison

Utilizing the RMSE, MAE, and  $R^2$  indices for comparing the real and forecasted FOSs, the performances of the SHO-MLP, SSA-MLP, SFLA-MLP, and LCA-MLP are evaluated. These criteria are once applied to the training data for assessing the learning potency of the models, as well as the testing data for evaluating the prediction ability. In fact, the neural-metaheuristic FOS pattern that is derived from the training data is applied to unseen slope circumstances.

Fig. 5 shows the training results. In this figure, the target FOSs (i.e., the real values) are compared with the forecasted ones. The pure error (= real value - forecasted value) of each sample is also depicted. As is seen, the FOS pattern is properly understood by all four models. The extent of learning errors for the the SHO-MLP, SSA-MLP, SFLA-MLP and LCA-MLP models is [-6.1336, 4.3488], [-1.1401, 1.5463], [-0.9040, 3.5451], and [-1.4348, 1.7213], respectively. Statistically, the RMSEs (2.6728, 0.4463, 1.1962, and 0.6704) and MAEs (2.0501, 0.3108, 0.8118, and 0.5172) obtained in this phase indicate that the FOS analysis carried out by the models is associated with an acceptable error. Moreover, considering the accommodation of the results, the calculated values of  $R^2$  report 0.8368, 0.9947, 0.9621, and 0.9880 correlation between the outputs and target data.

The results of the testing phase also indicate a high generalization efficiency for the models. Fig. 6 displays the correlation between the real and forecasted FOSs, along with the histogram of the errors. According to these charts, the values of the  $R^2$  index show higher than 96 % accuracy for the SSA-MLP, SFLA-MLP, and LCA-MLP, while it is 0.8614 for the SHO-MLP prediction. Besides, the calculated RMSE of 2.6728, 0.4463, 1.1962, and 0.6704, as well as the MAEs of 2.0501, 0.3108, 0.8118, and 0.5172, reflect a good performance of the used ensembles for unseen conditions of the problem.

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Fig. 5 The training results for the (a) SHO-MLP; (b) SSA-MLP; (c) SFLA-MLP; and (d) LCA-MLP prediction

#### 3.3 Performance comparison

In this section, it is aimed to determine the most reliable metaheuristic algorithm (among used ones) for optimizing the ANN in analyzing the stability of single-layer soil slopes. To meet this goal, the models are assessed by taking into consideration the used accuracy criteria (i.e., the RMSE, MAE, and  $R^2$  in Table 2) in both training and testing phases. A ranking score (between 1 to 4) is assigned to each model and the overall grade (OG) is calculated as the summation of them. Then, the models are ranked based on the resulted OGs. The results of this process are shown in Table 3. According to this table, the predictive model that is based on the SHO algorithm has gained the smallest score (i.e., 1) in all cells. After that, SFLA-based MLP has received a partial score of 2 in terms of all three indices.

Table 2 The obtained values of RMSE, MAE, and R<sup>2</sup>

	Network results							
Models		Training		Testing				
	RMSE	MAE	$\mathbb{R}^2$	RMSE	MAE	$\mathbb{R}^2$		
SHO-MLP	2.6728	2.0501	0.8368	2.6071	2.0887	0.8614		
SSA-MLP	0.4463	0.3108	0.9947	0.4825	0.3532	0.9937		
SFLA-MLP	1.1962	0.8118	0.9621	1.1696	0.8176	0.9645		
LCA-MLP	0.6704	0.5172	0.9880	0.7648	0.5430	0.9843		

The scores of the MLP optimized by the LCA are 3 which indicates its better performance compared to two earlier optimizers. The largest score (i.r., 4) is obtained for the SSA-MLP in all cells which reflects the superiority of the



Fig. 6 The testing results for the (a) and (b) SHO-MLP; (c) and (d) SSA-MLP; (e) and (f) SFLA-MLP; and (g) and (h) LCA-MLP prediction

	Scores									
Models	Training					Testing				
Wodels	RMSE	MAE	R <sup>2</sup>	Overall grade	Rank	RMSE	MAE	R <sup>2</sup>	Overall grade	Rank
SHO-MLP	1	1	1	3	4	1	1	1	3	4
SSA-MLP	4	4	4	12	1	4	4	4	12	1
SFLA-MLP	2	2	2	6	3	2	2	2	6	3
LCA-MLP	3	3	3	9	2	3	3	3	9	2

Table 3 The executed ranking system based on accuracy criteria

SSA in all parts.

All in all, the SSA has gained the highest OG (= 12), followed by the LCA (OG = 9), SFLA (OG = 6), and SHO (OG = 4). It indicates that the proposed MLP neural network constructed by the SSA is better optimized than other colleagues. It is proper to mention that catching the same results in the testing phase means that there is no discrepancy between the learning and generalization capabilities of the used models. In other words, the more quality of learning, the more accuracy of prediction for stranger conditions.

# 3.4 Presenting the neural predictive formula

In the previous section, it was shown that the MLP parameters suggested by the SSA algorithm construct the most accurate neural network. In this section, the optimized parameters of the SSA-MLP ensemble are used to generate the FOS predictive formula. Since the final response of the MLP is released from the output layer, fulfilling this purpose entails extracting the weights and biases of the relevant neuron. These parameters are arranged in the form of Eq. (23). This equation is fed by six variables which are the product of hidden neurons (i.e., the response of neurons in the previous layer). Eq. (24) is used to achieve these variables by receiving the problem parameters (i.e., the Cu,  $\beta$ , d/D, and w).

$$FOS_{SSA-MLP} = -0.7312 \times A - 0.9610 \times B$$
  
-0.7498 × C - 0.5534 × D  
-0.1017 × E + 0.0691 × F + 0.9808 (23)



Fig. 7 The importance of the input factors in predicting the FOS

identical slopes in real projects. It helps engineers avoid complicated and time-consuming finite element analysis. Analyzing the importance of the FOS influential parameters is the last part of this study which can give significant contributions concerning selecting the appropriate parameters in the real-world analysis. Utilizing a regression tree model, the importance of the input factors is calculated. The results are depicted in Fig. 7. As is seen,  $C_u$ ,  $\beta$ , b/B, and w have gained the importance values of 10.08, 4.48, 0.17, and 5.35, respectively. It denotes that undrained cohesive strength has the greatest effect (in the case of this dataset) on the FOS.

$$\begin{bmatrix} A \\ B \\ C \\ D \\ E \\ F \end{bmatrix} = Tansig \left( \begin{pmatrix} 0.4783 & 1.1539 & 1.0187 & 1.4842 \\ 0.9375 & -1.3227 & 0.8848 & 1.1788 \\ 1.6702 & -0.6027 & -1.1291 & -0.6109 \\ 0.9165 & -1.8969 & -0.5821 & -0.1542 \\ 1.7448 & 0.9500 & 0.3645 & 0.8494 \\ 1.5837 & 1.4772 & -0.1290 & 0.3066 \end{bmatrix} \begin{bmatrix} Cu \\ \beta \\ d/D \\ w \end{bmatrix} \right) + \begin{bmatrix} -2.1911 \\ -1.3147 \\ -0.4382 \\ 0.4382 \\ 1.3147 \\ 2.1911 \end{bmatrix} \right)$$
(24)

$$Tansig(x) = \frac{2}{1 + e^{-2x}} - 1$$
(25)

## 4. Conclusions

Analyzing the stability of soil slope is one of the most crucial issues in geotechnical projects which needs to be properly dealt with. It was the main motivation of the current study to employ four wise metaheuristic techniques namely spotted hyena optimizer, salp swarm algorithm, shuffled frog leaping algorithm, and league champion

A significant outcome of this study was introducing the SSA-MLP as an excellent yet soft method to be used by experts and engineers. Besides, by taking some specific measures regarding neural analysis, the presented formula can be a useful and simple way for calculating the FOS of

optimization algorithm for estimating the FOS using four effective factors. The algorithms were coupled with neural network for finding the optimal weights and biases. The population-based sensitivity analysis demonstrated that the best complexity of the SHO, SSA, SFLA, and LCA is 300, 400, 400, and 200, respectively. The results revealed that the combination of the ANN with metaheuristic science can successfully handle the mentioned task. A comparison between the models showed that SSA-MLP is the most accurate ensemble in both training (RMSEs were 2.6728, 0.4463, 1.1962, and 0.6704) and testing phases (RMSEs were 2.6071, 0.4825, 1.1696, and 0.7648). The authors would suggest the use of other optimizers toward achieving the most reliable predictive model in future studies. Also, taking into account the effect of other FOS-related parameters can be investigated.

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