Investigation of the effects of particle size and model scale on the UCS and shear strength of concrete using PFC2D

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Abstract. In this paper, the effects of particle size and model scale of concrete has been investigated on the failure mechanism of PFC2D numerical models under uniaxial compressive test. For this purpose, rectangular models with same particle sizes and different model dimensions, i.e., $3 \text{ mm} \times 6 \text{ mm}$, $6 \text{ mm} \times 12 \text{ mm}$, $12 \text{ mm} \times 24 \text{ mm}$, $25 \text{ mm} \times 50 \text{ mm}$ and $54 \text{ mm} \times 108 \text{ mm}$, were prepared. Also rectangular models with dimension of $54 \text{ mm} \times 108 \text{ mm}$ and different particle sizes, i.e., 0.27 mm, 0.47 mm, 0.67 mm, 0.87 mm, 1.07 mm, 1.87 mm and 2.27 mm were simulated using PFC2D and tested under uniaxial compressive test. Concurrent with uniaxial test, direct shear test was performed on the numerical models. Dimension of the models were $75 \times 100 \text{ mm}$. Two narrow bands of particles with dimension of $37.5 \text{ mm} \times 20 \text{ mm}$ were removed from upper and lower of the model to supply the shear test condition. The particle sizes in the models were 0.47 mm, 0.67 mm and 0.77 mm. The result shows that failure pattern was affected by model scale and particle size. The uniaxial compressive strength and shear strength were increased by increasing the model scale and particle size.

Keywords: model scale; particle size; uniaxial compression test; direct shear test; PFC2D

1. Introduction

Concrete is currently the most widely used construction material in the world. In fact, an average of about one (1) cubic meter of concrete per capita is produced annually. The design of concrete structures requires a thorough understanding of their material properties under various loading condition. Several experimental investigations have been carried out to examine the behavior of concrete (Shuraim 2016, Haeri et al. 2016, Shaowei et al. 2016). The correlation between the strength of concrete and their geometrical dimensions is known as the size effect. The size effects introduce a challenge to the transference of smallscale measured strength data to the large-scale structures. to investigate the size effect on strength and fracture energy of concrete, with the experimental results well documented in several published papers such as in Van Vliet (2000) and further analyzed by other researchers such as Vorechovsky (2007). Van Vliet (2000) conducted a series of uniaxial tension experiments using dog bone shaped specimens. Zi et al. (2014) studied the size effect on equi-biaxial flexure strength of concrete by the ASTM C1550 flexure test and the ring-on-ring flexure of circular plates. The four-point flexure test of prismatic beams was also carried out to obtain uniaxial flexural strength for comparison. These

researches exhibit scale dependency in terms of tensile strength, due to the differences in micro-defects and macroscopic cracks. This important may be occurs in distinct element simulation. The distinct element method (DEM) allows fracturing and differential displacements between individual elements. PFC2D, a special DEM code, is based on circular elements and the fundamental laws of contact physics (Cundall 1971, Cundall and Strack 1979, Itasca 1999). Thus, it is ideal to simulate the behaviour of granular materials such as rock. PFC2D elements can also be bonded to describe consolidated rock and, in turn, bond breakage can be used to study fracture mechanics. The PFC codes have been applied for solving many rock mechanics problems at laboratory scales, such as tri-axial testing of rocks with complete stress-strain curves (Aoki 2004), failure around a circular opening under bi-axial compression (Fakhimi 2002), direct shear test of a rock fracture (Cundall 2001, Sarfarazi 2016, Haeri 2016), acoustic emissions (AE) (Hazzard 2000, 2004) and hydrofracturing tests of granite (Al-Busaidi) for laboratory scale simulations. Also PFC has been used to simulate large field scale rock engineering problems such as tunnel/ cavern excavation and evaluation of EDZ (Aoki 2004), tunnel face stability (Okabe 2004), design of tunnel lining (Tannant 2004), rock cutting and slope stability analysis (Wang 2003), mining (e.g., Sainsbury et al. 2003, Diederichs et al. 2004), rock mechanics (e.g., Holt et al. 2003) and slope stability (e.g., Wang et al. 2003), sliding friction and the formation of fault gouges (e.g. Mora and Place 1998, Morgan and Boettcher 1999), seismic events and the shorttime response of adjacent rocks (Mora and Place 1993, Scott 1996, Dalguer et al. 2003), large-scale kinematics of

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geodynamic processes (Erickson et al. 2001, Burbidge and Braun 2002, Strayer and Suppe 2002, Vietor 2003, Zhou et al. 2016, Zhou et al. 2018, Zhou et al. 2016, Wang et al. 2018, Wang et al. 2018, Wang et al. 2018), rock mass with non-persistent joints (Bahaaddini et al. 2013b, Fan et al. 2015, Ghazvinian et al. 2012, Park et al. 2004, Scholtès and Donzé 2012), especially with the development of smooth joint contact model (Hadjigeorgiou et al. 2009, Esmaieli et al. 2010, Mas Ivars et al. 2011, Chiu et al. 2013, Bahaaddini et al. 2013a, 2013b, 2014, Lambert and Coll 2014). Many researchers have been accomplished to study the cracks initiation, propagation and coalescence in the cracked specimens containing a few open flaws under uniaxial, biaxial and shear loading (Zhou and Yang 2007, Zhou 2010, Lancaster et al. 2013, Zhou and Yang 2012, Mobasher et al. 2014, Noel and Soudki 2014, Oliveira and Leonel 2014, Kim and Taha 2014, Tiang et al. 2015, Wan Ibrahim et al. 2015, Silva et al. 2015, Liu et al. 2015, Haeri 2015, Haeri et al. 2015a, b, Haeri et al. 2016, Fan et al. 2016, Li et al. 2016, Sardemir 2016, Sarfarazi et al. 2016, Shuraim 2016, Wang et al. 2016, 2017, Zhou and Wang 2016, Shemirani et al. 2016, Sarfarazi et al. 2017a, b, c, Wang et al. 2017, Shemirani et al. 2018, Zhou and Bi 2018a, b, Haeri et al. 2013, Haeri et al. 2014, Haeri 2015, Haeri and Sarfarazi 2016a, b; Haeri et al. 2016).

In previous research, the effects of model scale and particle size on engineering problem were ambiguous. Investigations of the effects of model scale and particle size on tensile strength, point load index and failure processes of numerical models is important to justify the applicability of the numerical modeling results. PFC2D numerical model has advantages in simulation failure mechanism under uniaxial compressive test over than other numerical methods such as crack initiation stress, crack propagation and crack coalescence. In this paper, various models with different scales and different particle sizes were simulate using PFC2D and tested under both of the compression test and shear test. The effects of model scale and particle size were cleared on the failure pattern of models, compressive strength and shear strength.

2. General features of PFC2D

A two dimensional distinct element method is used in form of computer code known as particle flow code (PFC2D) to numerically simulate the laboratory specimens (Itasca 1999 version 3.1; Potyondy and Cundall 2004). This distinct element code represents the material specimen as an assembly of rigid particles so that each particle can move independently and may interact with other particles at contact points. A central finite difference scheme is adopted in the discrete element method (DEM) to calculate the movements and interaction forces of these particles. In this approach two contact models are usually in use i.e., the linear and non-linear contact models. In this study the linear contact model is preferred which provides a linear elastic relation in between the displacements and contact forces of the particles within the particle assembly. Fig. 6 shows the basic linear contact model adopted in in the PFC code which is known as the contact force-displacement

relationship. In this model, the linear point contact in between each two particles of the assembly is shown which relating the contact normal force component, F^n , contact overlap, U^n , increment of shear force, ΔF^s , and shear displacements, ΔU^s as given in the following equations.

$$\begin{cases} F^{n} = K^{n}U^{n} \\ \Delta F^{s} = -k^{s}\Delta U^{s} \end{cases}$$
(1)

where K^n and k^s are the normal and shear stiffness of the contact, respectively. The frictional strength of the contact can be expressed as

$$F^{s} \leq \mu F^{n}$$
 (2)

where μ is the coefficient of friction in between the particles. The relative movements in between the individual particles within the particle assembly can only be represented by Eq. (1). As a whole, when a group of bounded particles are to be considered in form of an assembly, the cemented contacts including both contact forces and torques are needed as shown in Figs. 6(a) and 6(c). Therefore, the relationships between these incremental quantities can be formulated as

$$\begin{cases} \Delta \bar{F}^{n} = \bar{k}^{n} A \Delta U^{n} \\ \Delta \bar{F}^{s} = -\bar{k}^{s} A \Delta U^{s} \end{cases} \begin{cases} \Delta \bar{F}^{n} = \bar{k}^{n} A \Delta U^{n} \\ \Delta \bar{F}^{s} = -\bar{k}^{s} A \Delta U^{s} \end{cases}$$
(3)

which are the incremental force-displacement relations and the incremental contact moments are expressed as

$$\begin{cases} \Delta \bar{M}^{n} = -\bar{k}^{s} J \Delta \theta^{n} \\ \Delta \bar{M}^{s} = -\bar{k}^{n} I \Delta \theta^{s} \end{cases}$$

$$\tag{4}$$

where F^n , F^s ; M^n and M^s are the force components and torques (moments) about the center of the cemented-contact zone, respectively. k^n and k^s represent the normal and shear bond stiffness per unit area, θ^n and θ^s are the components of rotation angle, and A, I, and J are the area, moment of inertia, and polar moment of inertia of the bond crosssection, respectively. Then, the strength of the cemented contact can be written as

$$\bar{\sigma}^{max} = \frac{-\bar{F}^{n}}{A} + \frac{|\bar{M}^{s}|\bar{R}}{I} < \bar{\sigma}_{c}$$

$$\bar{\tau}^{max} = \frac{-\bar{F}^{s}}{A} + \frac{|\bar{M}^{n}|\bar{R}}{J} < \bar{\tau}_{c}$$
(5)

where R is the radius of the cemented zone as depicted in Fig. 6(c), the stresses σ_c and τ_c are the tensile and shear strength of the cemented contact, respectively. However, the contact and bond stiffness can be used to relate the Young's modulus for particle contacts E_c and particle bondage \bar{E}_c as

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$$E_{\rm c} = \frac{k_{\rm n}}{2t} \left(t = 1 \text{ in } 2D \right)$$

$$\bar{E}_{\rm c} = \bar{k}_{\rm n} \left(R^{(\rm A)} + R^{(\rm B)} \right)$$
(6)

where $R^{(A)}$ and $R^{(B)}$ are the radii of two circular particles in contact (Figs. 6(b), (c)). The cemented contacts expressed in Eqs. (3) to (8) are termed as parallel bonds in the PFC



Fig. 6 The force-displacement relationships for the bonding particle system (Potyondy and Cundall 2004)

code. The mechanical properties of rock like materials can be simulated by using the parallel bond model (e.g., Peshkin and Sanderson 1989).

A parallel-bonded model can be generated for PFC2D by using the suitable routines provide by Itasca (1999); version 3.1. however, some of the main micro-properties that should be defined may include: the ball-to-ball contact modulus, the stiffness ratio kn over ks, the friction coefficient of the ball, the normal and shear strengths of the bond, the ratio of standard deviation to the mean of both normal and shear strengths of the bond, the minimum Ball radius, the parallel-bond radius multiplier, the parallel-bond modulus, and the parallel-bond stiffness ratio. A calibration procedure is conducted to provide the appropriate micro properties to be used for the particle assembly. It is not possible to directly determine the particle contact properties and bonding characteristics of the particle assembly from the laboratory tests performed on laboratory model samples. The continuum behavior of the experimentally tested samples can be depicted from the macro-mechanical properties measured by these tests but the appropriate micro-mechanical properties for the numerically simulated modelled specimens can also be gained by using these testing results. Therefore, an inverse modeling procedure based on the trial and error approach is adopted to determine the appropriate micro-mechanical properties of numerical models from the macro-mechanical the properties determined in the laboratory tests (Itasca 1999). In this approach it is assumed some micro-mechanical property values and then solves the problem based on these assumed values. In the second stage the numerically estimated strength and deformation characteristics of the particle assembly are compared with those of the laboratory samples. This procedure is repeated till the micromechanical property values that give a simulated macroscopic response close to those measured from laboratory tests. These adjusted values of the micromechanical properties are then adopted for the mechanical analyses of discontinuous jointed blocks (Seyferth 2006).

3. Preparing and calibrating the numerical model

The standard process of generation of a PFC2D assembly to represent a test model involves four steps: (a) particle generation and packing the particles, (b) isotropic stress installation, (c) floating particle elimination, and (d) bond installation.

Table 1 Micro properties used to represent the model with tensile strength of 3.6 MPa

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Property	Value	Property	Value
Туре	Disc	Parallel bond radius multiplier	1.4
Density (kg/m ³)	3000	Young's modulus of parallel bond (GPa)	1.7
Minimum radius (mm)	0.27	Parallel bond stiffness ratio (pb_kn/pb_ks)	3
Size ratio	1.56	Particle friction coefficient	0.5
Porosity ratio	0.05	Parallel normal strength, mean (MPa)	50
Local damping coefficient	0.7	Parallel normal strength, std. dev (MPa)	5
Contact young modulus (GPa)	12	Parallel shear strength, mean (MPa)	50
Stiffness ratio (kn/ks)	1.7	Parallel shear strength, std. dev (MPa)	5



Fig. 1(a) failure pattern in (a) physical sample, (b) PFC2D model. (c) numerical tensile strength and a comparison of its experimental measurements

3.1 Brazilian test

Brazilian test was used to calibrate the tensile strength



(g)

Fig. 2 Rectangular models with same dimension of 54 mm×108 mm and mean particle diameter of (a) 0.27 mm, (b) 0.47 mm, (c) 0.67 mm, (d) 0.87 mm, (e) 1.07 mm, (f) 1.87 mm and (g) 2.27

of three different models in PFC2D. Adopting the microproperties listed in Table 1 with the standard calibration procedures (Potyondy and Cundall 2004), three calibrated PFC particle assembly was created. The diameter of the Brazilian disk considered in the numerical tests was 54 mm. The specimens were made of 5,615 particles with different



Fig. 3 Rectangular models with same mean particle diameter (0.27 mm) and dimension of (a) 3 mm×6 mm, (b) 6 mm×12 mm, (c) 12 mm×24 mm, (d) 25 mm×50 mm and (e) 54 mm×108 mm

Table 2 Brazilian tensile strength of physical and numerical samples

Physical tensile strength (MPa)	3.2
Numerical tensile strength (MPa)	3.6

clump particle distributed in it to gain the best results. The disk was crushed by the lateral walls moved toward each other with a low speed of 0.016 m/s. Fig. 1(a), (b) illustrate the failure pattern of the numerical and experimental tested samples, respectively. The failure planes experienced in numerical and laboratory tests are well matching. The numerical tensile strength and a comparison of its experimental measurements are presented in Table 2. Also Fig. 1(c) shows numerical tensile strength and a comparison of its experimental measurements. Table 2 and Fig. 1(c) show a good accordance between numerical and experimental results.

3.2 Numerical simulation

3.2.1 Preparing the model

After calibration of PFC2D, uniaxial compressive test was simulated by creating rectangular models (Fig. 2). Seven models with similar dimension of 54 mm×108 mm, and different particle size of 0.27 mm, 0.47 mm, 0.67 mm, 0.87 mm, 1.07 mm, 1.87 mm and 2.27 mm were prepared (Fig 2). Also, five rectangular models with similar particle sizes, 0.27 mm, and dimension of 3 mm×6 mm, 6 mm×12 mm, 12 mm×24 mm, 25 mm×50 mm and 54 mm×108 mm, were prepared (Fig. 3). Two loading wall were situated in the top and bottom of the models. Lower wall moves in Y direction and upper wall moves in opposite side of Y direction with a low speed of 0.016 m/s. concurrent with uniaxial test, direct shear test was performed on four numerical models. Dimension of the models were 75×100 mm. Two narrow bands of particles with dimension of 37.5 $mm \times 20$ mm were removed from upper and lower of the model to supply the shear test condition (Fig. 4). The particle sizes change in four different values of 0.47 mm,



Fig. 4 Rectangular models with same dimension of 75 mm×100 mm and mean particle diameter of (a) 0.47 mm, (b) 0.57 mm, (c) 0.67 mm and (d) 0.77 mm

0.57 mm, 0.67 mm and 0.77 mm (Fig. 4(a), (b), (c) and (d). Lower wall moves in Y direction and upper wall moves in opposite side of Y direction with a low speed of 0.016 m/s. The models are under normal stress of 8 MPa. Left wall

moves in X direction till desired normal stress was reached.

3.2.2 Failure mechanism of numerical modelsa) Effect of mean particle diameter on the failure pattern



Fig. 5 Failure pattern in semicircular models with mean particle diameter of (a) 0.27 mm, (b) 0.47mm, (c) 0.67 mm, (d) 0.87 mm, (e) 1.07 mm and (f) 1.27 mm; diameter of all models is 54mm

in UCS test

Fig. 5(a)-(g) shows progress of cracks in the rectangular models with mean particle size of 0.27 mm, 0.47 mm, 0.67 mm, 0.87 mm, 1.07 mm, 1.87 mm and 2.27, respectively. Black line and red line represent tensile cracks and shear

cracks, respectively. It's clear that tensile cracks are dominant mode of failure occurs in all models. The cracks propagate in a diagonal path when mean particle sizes are small. The cracks were distributed at top and bottom of the model with increasing the particle size.



Fig. 6 Failure pattern in semicircular models with diameter of (a) 75 mm, (b) 54 mm, (c) 25 mm, (d) 12.5 mm; particle diameter in all models is 0.27 mm

b) Effect of model dimension on the failure pattern in UCS test

Fig. 6(a)-(e) shows progress of cracks in rectangular models with dimension of 3 mm×6 mm, 6 mm×12 mm, 12 mm×24 mm, 25 mm×50 mm and 54 mm×108 mm, respectively. Black line and red line represent tensile cracks and shear cracks, respectively. It's clear that tensile cracks are dominant mode of failure occurs in all models. The failure pattern is nearly constant in all models. In other word, in constant particle size, model dimension has not any effect on the failure pattern.

c) Effect of mean particle diameter on the failure pattern in direct shear test

Fig. 7(a)-(d) shows progress of cracks in the rectangular models with mean particle size of 0.47 mm, 0.57 mm, 0.67 mm and 0.77 mm, respectively. Black line and red line represent tensile cracks and shear cracks, respectively. It's clear that tensile cracks are dominant mode of failure occurs in all models. The shear bands propagate in all models. The lengths of oriented cracks were increases with increasing

the particle size.

3.2.3 The effect of model scale and uniaxial compressive strength

Fig. 8 shows the effect of model diameter on the UCS while the particle size is constant.

In constant particle size, The UCS increases with increasing the diameter of the models.

3.2.4 The effect of particle size on Brazilian tensile strength

Fig. 9 shows the effect of particle size on the UCS while model scale is constant (54×108). In constant model scale, UCS increases with increasing the diameter of particles.

3.2.5 The effect of particle size on the shear strength

Fig. 10 shows the effect of particle size on the shear strength while model scale is constant (75×100). In constant model scale, shear strength increases with increasing the diameter of particles.



Fig. 7 Failure pattern in models with mean particle diameter of (a) 0.47 mm, (b) 0.57 mm, (c) 0.67 mm, (d) 0.77 mm; diameter of all models is 54 mm

4. Results

This paper investigates the effects of model scale and particle size on the uniaxial compressive strength and

failure processes of PFC2D numerical models. For this purpose, rectangular models with same particle size, 0.27 mm, and different model dimension, 3 mm×6 mm, 6 mm×12 mm, 12 mm×24 mm, 25 mm×50 mm and 54 mm×108 mm, were prepared. Also rectangular model with



Fig. 8 the effect of model diameter on the compressive strength



Fig. 9 the effect of particle size on the uniaxial compressive strength



Fig. 10 the effect of particle size on the shear strength

diameter of 54 mm and different particle sizes, i.e., 0.27 mm, 0.47 mm, 0.67 mm, 0.87 mm, 1.07 mm, 1.87 and 2.27 mm were simulated using PFC2D and tested under UCS test. The result shows that tensile cracks are dominant mode of failure occur in all models. The cracks propagate in a diagonal path when mean particle sizes are small. The cracks were distributed at top and bottom of the model with increasing the particle size.

Also, failure pattern is constant by increasing the model scale. The compressive strength was increased by increasing the model diameter and particle size.

In direct shear test, the shear bands propagate in all

models. The lengths of oriented cracks were increases with increasing the particle size. Also the shear strength was increased by increasing the particle size.

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